



Dep & Ref Room
307

Attorney's Docket No. 9381.2

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: **Ronald W. Lodholz** Group: Unknown
U.S. Serial No.: **10/644,745** Examiner: Unknown
Filing Date: **August 25, 2003**
For: **Gemstone Mount Assemblies, Jewelry Pieces and Methods for Forming the Same**

September 4, 2003

Commissioner for Patents
Washington, DC 20231

REQUEST FOR REFUND OF FEE
UNDER 37 C.F.R. §1.26 AND 37 C.F.R. §1.28(a)


Sir:

Applicant respectfully submits this Request for Refund of Fee pursuant to 37 C.F.R. §1.26 and 37 C.F.R. §1.28(a) in the amount of \$720.00. Applicants submit that the above-referenced-application was filed on August 25, 2003, and was accompanied by payment in full for a large entity. Applicants hereby assert small entity status under 37 C.F.R. § 1.27. Thus, the fee paid of \$1440.00 was in excess of the \$720.00 fee required to be paid by Applicant.

As Applicant has properly asserted small entity status and has requested a refund within three (3) months of the date of the timely payment of the full fee, Applicant respectfully requests a refund in the amount of \$720.00 (the difference in the full fee and the fee for a small entity).

Adjustment date: 09/16/2003 EEKUBAY1
08/28/2003 HLE333 00000001 10644745
01 FC:1001 -750.00 OP
02 FC:1201 -504.00 OP

Respectfully submitted,

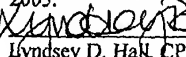

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on September 4, 2003.


Lyndsey D. Hall, CP

09/16/2003 EEKUBAY1 00000001 10644745

Refund Ref: 09/16/2003 EEKUBAY1 0000130672 ME

01 FC:2001
02 FC:2201

375.00 OP
252.00 OP

CHECK Refund Total: \$627.00

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

06/27/2003 HBERME 00000029 10603039

01 FC:1001	-750.00 OP
02 FC:1202	-125.00 OP
03 FC:1201	-168.00 OP

Adjustment date: 09/16/2003 EEKUBAY1
06/27/2003 HBERME 00000029 10603039
01 FC:1001 -750.00 OP
02 FC:1202 -125.00 OP
03 FC:1201 -168.00 OP

09/16/2003 EEKUBAY1 00000005 10603039

01 FC:2001	375.00 OP
02 FC:2201	84.00 OP
03 FC:2202	63.00 OP

Refund Ref:
09/16/2003 EEKUBAY1 0000130876 *ME*

CHECK Refund Total: \$522.00

PTO-1556
(5/87)



Dep/Reg Room 307
PATENTS
CUSTOMER NO. 29052
ATTY. DOCKET NO.: 17625.0045

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Tim C. Lieuwen, et al.

Serial No. 10/603,039

Filed: June 24, 2003

For: Systems & Methods for Detection of
Blowout Precursors in Combustors

Refund Section,
Accounting Division, Office of
Finance

REQUEST FOR PARTIAL REFUND PURSUANT TO 37 CFR 1.28(a)

Mail Stop 16
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant requests a partial refund of the filing fee previously paid in the application identified above pursuant to 37 CFR 1.28(a). The Applicant paid a filing fee of \$1084.00 and respectfully requests a partial refund in the amount of \$522.00. The Verified Statement filed herewith establishes status as a small entity.

Respectfully submitted,
Russell A. Korn
Russell A. Korn
Reg. No. 54,236

US PATENT & TRADEMARK
OFFICE

AUG 21 2003

OFFICE OF FINANCE
REFUND SECTION

SUTHERLAND ASBILL & BRENNAN LLP
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Russell A. Korn
Russell A. Korn - Reg. No. 54,236



PTO/SB/21 (03-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/603,039	
	Filing Date	June 24, 2003	
	First Named Inventor	Tim C. Lieuwen	
	Art Unit	Not Assigned	
	Examiner Name	Not Assigned	
Total Number of Pages in This Submission	3	Attorney Docket Number	17625.0045

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Verified Statement Claiming Small Entity
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Russell A. Korn, Reg. No. 54,236 Sutherland, Abill & Brennan LLP 999 Peachtree St. NE, Atlanta, GA 30309
Signature	
Date	August 14, 2003

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: August 14, 2003	
Typed or printed	Russell A. Korn
Signature	
Date	August 14, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Tim C. Lieuwen, et al.

Application Serial No.: 10/603,039

Filed: June 24, 2003

For: **Systems & Methods for Detection of
Blowout Precursors in Combustors**

Attorney Docket No. 17625.0045



**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.27 (c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:
☒ a registered practitioner of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Georgia Tech Research Corporation

ADDRESS OF CONCERN: Georgia Institute of Technology
Atlanta, GA 30332-0415

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.27(a)(3) and 13 CFR 121, for purposes of paying reduced fees under Section 41 (a) and (b) of Title 35, United States Code, in that the concern is a non-profit organization.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above, with regard to the invention entitled "Systems & Methods for Detection of Blowout Precursors in Combustors" by inventor Tim C. Lieuwen, et al. described in

- ☐ the specification filed herewith
☒ Application No. 10/603,039 filed June 24, 2003.
☐ patent No. _____, issued _____

The rights held by the above identified small business concern are exclusive.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Russell A. Korn

TITLE OF PERSON OTHER THAN OWNER: Registered Practitioner

ADDRESS OF PERSON SIGNING: Sutherland Asbill & Brennan, LLP, 999 Peachtree St. NE, Atlanta, GA 30309

DATE 8/14/2003

SIGNATURE Russell A. Korn